

## **Holger Schünemann**

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**Rome, March 1, 2013**

# **GRADE**

## **Acknowledgment**

GRADE Working Group

# Disclosure

- No direct/personal for-profit payments to me or my research group
- Co-chair of GRADE working group
- Cochrane Collaboration
  - Co-convenor of the Applicability and Recommendations Methods Group
  - Various other functions
- IQWiG Scientific Board



# Content

## What is GRADE

- Quality of evidence (certainty or confidence in effects)
- Recommendations (framework for developing)

# A systematic review of randomized trials in cancer patients

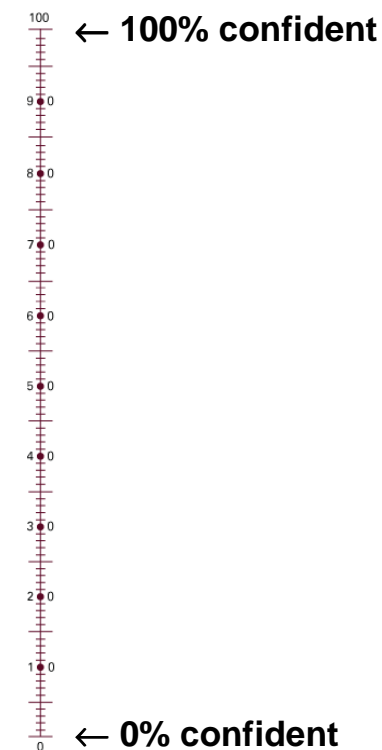
**Table 1.** Summary of Findings Table Showing the Relative Risks and Absolute Effects over 12 Months for Each Important Outcome after Treatment with a Low-Molecular-Weight Heparin in Patients Receiving Chemotherapy for Cancer.\*

Outcome after 12 Months	Participants <i>no. (no. of studies)</i>	Relative Risk (95% CI)	Anticipated Absolute Effect	
			Risk without LMWH <i>no. of events per 1000 patients</i>	Risk Difference with LMWH (95% CI)
Death	6245 (10)	0.94 (0.88–1.00)	501	30 fewer (60 fewer to 0 more)
Symptomatic VTE	5979 (9)	0.57 (0.40–0.81)	46	20 fewer (27 fewer to 9 fewer)
Major bleeding	6518 (11)	1.06 (0.71–1.57)	16	1 more (5 fewer to 9 more)
Minor bleeding	6020 (9)	1.18 (0.89–1.55)	27	5 more (3 fewer to 15 more)

# Do you have confidence in these estimates of effects?

**Table 1. Summary of Findings Table Showing the Relative Risks and Absolute Effects over 12 Months for Each Important Outcome after Treatment with a Low-Molecular-Weight Heparin in Patients Receiving Chemotherapy for Cancer.\***

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# High confidence in the effects

**Table 1.** Summary of Findings Table Showing the Relative Risks and Absolute Effects over 12 Months for Each Important Outcome after Treatment with a Low-Molecular-Weight Heparin in Patients Receiving Chemotherapy for Cancer.\*

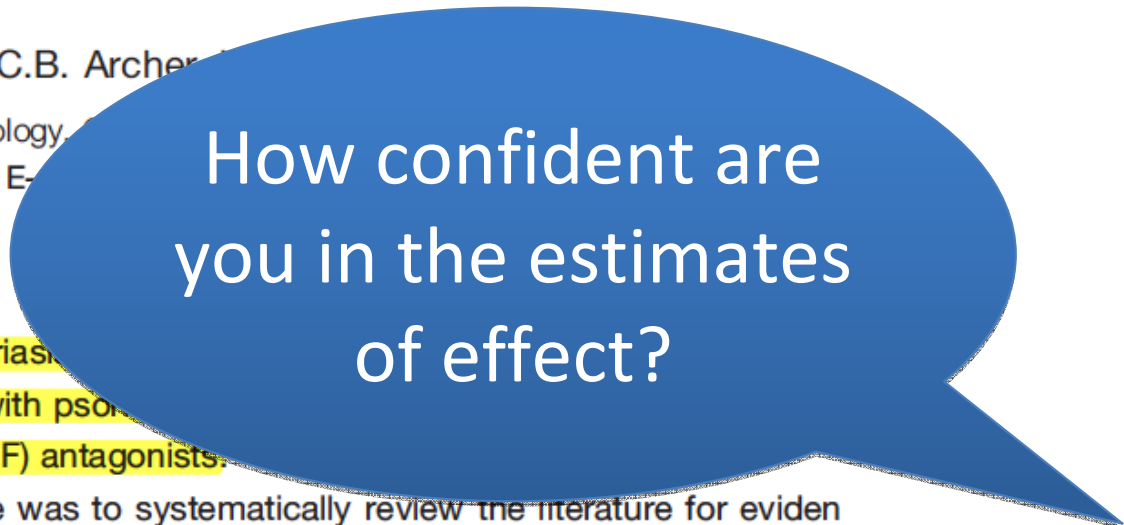
Outcome after 12 Months	Participants <i>no. (no. of studies)</i>	Relative Risk (95% CI)	Anticipated Absolute Effect		Quality of Evidence (GRADE) and Comments†
			Risk without LMWH <i>no. of events per 1000 patients</i>	Risk Difference with LMWH (95% CI)	
Death	6245 (10)	0.94 (0.88–1.00)	501	30 fewer (60 fewer to 0 more)	Moderate-quality evidence owing to imprecision and concern about publication bias; a survival analysis based on data from 9 studies shows a hazard ratio of 0.83 (95% CI, 0.72–0.95)
Symptomatic VTE	5979 (9)	0.57 (0.40–0.81)	46	20 fewer (27 fewer to 9 fewer)	High-quality evidence; the data are combined for pulmonary embolism and symptomatic deep venous thrombosis
Major bleeding	6518 (11)	1.06 (0.71–1.57)	16	1 more (5 fewer to 9 more)	Moderate-quality evidence owing to imprecision; the increase may be acceptable to patients, given that VTE, which occurs more frequently, may be equally unpleasant
Minor bleeding	6020 (9)	1.18 (0.89–1.55)	27	5 more (3 fewer to 15 more)	Moderate-quality evidence owing to imprecision; however, this outcome is unlikely to be critical for decision making

# A systematic review of the literature on the treatment of pityriasis rubra pilaris type 1 with TNF-antagonists

G. Petrof,\* N. Almaani, C.B. Archer

St John's Institute of Dermatology

\*Correspondence: G. Petrof. E-



## Abstract

**Background** Adult pityriasis rubra pilaris (PRP) has clinical and histological parallels with psoriasis and is treated with tumour necrosis factor (TNF) antagonists.

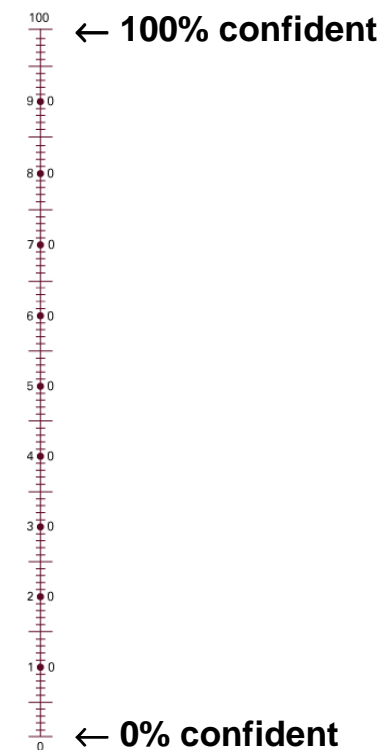
**Objectives** Our objective was to systematically review the literature for evidence on the treatment of adult PRP.

**Methods** We performed a systematic search of the Cochrane library, EMBASE and Medline. We defined diagnosis of PRP, classified clinical response and whether TNF-antagonists were used. We also reviewed disease duration, treatment duration and follow up.

**Results** Sixteen articles were selected for detailed review. From these, 12 articles met the inclusion criteria and were included in the systematic review. The authors identified 15 cases of PRP. A total of 15 evaluable cases were included for analysis. Twelve showed response to TNF-antagonists with a mean time to maximal response of 5 months. In 10 of these, the response was attributable to TNF antagonist therapy.

**Conclusion** These data indicate that TNF-antagonists may be of value in treating adult type 1 PRP refractory to other systemic agents but selective reporting bias, together with the lack of standard diagnostic criteria and established spontaneous resolution in PRP, prevent any firm recommendations on their place in management.

Received: 10 November 2011; Accepted: 13 January 2012





# Confidence in estimates of effect

100% confident →



← starting point?

0% confident →

## Bradford Hill Criteria

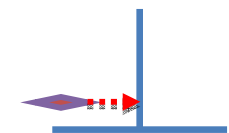
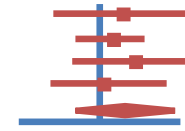
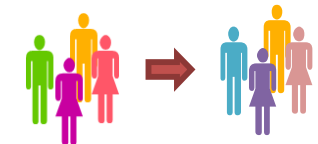
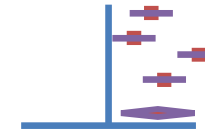
- Strength
- Consistency
- Temporality
- Biological gradient
- Specificity
- Biological Plausibility
- Coherence
- Experiment
- Analogy

**Good but  
insufficient  
(publication bias?)**



# Determinants of confidence

- **RCTs** ⊕⊕⊕⊕
- **observational studies** ⊕⊕○○
- **5 factors that can lower quality**
  1. limitations in detailed study design and execution (*risk of bias criteria*)
  2. Inconsistency (*or heterogeneity*)
  3. Indirectness (*PICO and applicability*)
  4. Imprecision
  5. Publication bias
- **3 factors can increase quality**
  1. large magnitude of effect
  2. opposing plausible residual bias or confounding
  3. dose-response gradient





# GRADE Quality of Evidence

In the context of making recommendations:

“The quality of evidence reflects the extent of our confidence that the estimates of an effect are adequate to support a particular decision or recommendation.”



Likelihood  
of and  
confidence  
in an  
outcome

**Figure 1.** *Belief and confidence: a two-dimensional weather report. (Reprinted by permission from the Wall Street Journal).*



Likelihood  
of and  
confidence  
in an effect

I figure there is a 20% reduction in risk  
with this intervention and low certainty  
we know what we are talking about

# GRADE Working Group

## Grades of Recommendation Assessment, Development and Evaluation

- Aim: to develop a common, transparent and sensible system for grading the quality of evidence and the strength of recommendations (over 100 systems)
- International group of guideline developers, epidemiologists, clinical researchers, public health officers, methodologists & clinicians from around the world (>300 contributors) – since 2000
- Over 70 major organizations adopted GRADE



# Assessing Quality of Evidence by Outcome

**Table: GRADE's approach to rating quality of evidence (aka confidence in effect estimates)**

*For each outcome based on a systematic review and across outcomes (lowest quality across the outcomes critical for decision making)*

**1.**  
Establish initial level of confidence

Study design	Initial confidence in an estimate of effect
Randomized trials →	High confidence
Observational studies →	Low confidence

**2.**  
Consider lowering or raising level of confidence

Reasons for considering lowering or raising confidence	
↓ Lower if	↑ Higher if*
Risk of Bias	Large effect
Inconsistency	Dose response
Indirectness	All plausible confounding & bias
Imprecision	• would reduce a demonstrated effect or
Publication bias	• would suggest a spurious effect if no effect was observed

**3.**  
Final level of confidence rating

Confidence in an estimate of effect across those considerations
High ⊕⊕⊕⊕
Moderate ⊕⊕⊕○
Low ⊕⊕○○
Very low ⊕○○○

\*upgrading criteria are usually applicable to observational studies only.

# Lowering confidence in RCTs

**Table: GRADE's approach to rating quality of evidence (aka confidence in effect estimates)**

For each outcome based on a systematic review and a cross-outcomes (lowest quality across the outcomes critical for decision making)

1. Establish initial level of confidence		2. Consider lowering or raising level of confidence		3. Final level of confidence rating
Study design	Initial confidence in an estimate of effect	Reasons for considering lowering or raising confidence		Confidence in an estimate of effect across those considerations
		Lower	Higher*	
Randomized trials →	High confidence	Risk of Bias	Large effect	High ⊕⊕⊕⊕
		Inconsistency	Dose response	
		Indirectness	All plausible confounding biases	Moderate ⊕⊕⊕□
Observational studies →	Low confidence	Imprecision	<ul style="list-style-type: none"> <li>would reduce demonstrated effect</li> </ul>	Low ⊕⊕□□
		Publication bias	<ul style="list-style-type: none"> <li>would suggest spurious effect if no effect was observed</li> </ul>	Very low ⊕□□□

\*upgrading criteria are usually applicable to observational studies only.

# Altering confidence in observational studies

**Table: GRADE's approach to rating quality of evidence (aka confidence in effect estimates)**

For each outcome based on a systematic review and a cross-outcomes (lowest quality across the outcomes critical for decision making)

1. Establish initial level of confidence		2. Consider lowering or raising level of confidence		3. Final level of confidence rating
Study design	Initial confidence in an estimate of effect	Reasons for considering lowering or raising confidence		Confidence in an estimate of effect across those considerations
		<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>↓ Lower</p> <p><b>Risk of Bias</b></p> <p><b>Inconsistency</b></p> <p><b>Indirectness</b></p> <p><b>Imprecision</b></p> <p><b>Publication bias</b></p> </div> <div style="width: 45%;"> <p>↑ Higher if*</p> <p><b>Large effect</b></p> <p><b>Dose response</b></p> <p><b>All plausible confounding &amp; bias would reduce demonstrated effect or</b></p> <p><b>would suggest spurious effect if no effect was observed</b></p> </div> </div>		
Randomized trials →	High confidence			High ++++
?	?			Moderate +++ ?
Observational studies →	Low confidence			Low ++ ? ?
?	?			Very low + ? ? ?

\*upgrading criteria are usually applicable to observational studies only.



# High confidence in the effects

**Table 1.** Summary of Findings Table Showing the Relative Risks and Absolute Effects over 12 Months for Each Important Outcome after Treatment with a Low-Molecular-Weight Heparin in Patients Receiving Chemotherapy for Cancer.\*

Outcome after 12 Months	Participants  <i>no. (no. of studies)</i>	Relative Risk (95% CI)	Anticipated Absolute Effect		Quality of Evidence (GRADE) and Comments†
			Risk without LMWH  <i>no. of events per 1000 patients</i>	Risk Difference with LMWH (95% CI)	
<b>Should every cancer patient receive heparin?</b>					
					venous thrombosis
Major bleeding	6518 (11)	1.06 (0.71–1.57)	16	1 more (5 fewer to 9 more)	Moderate-quality evidence owing to imprecision; the increase may be acceptable to patients, given that VTE, which occurs more frequently, may be equally unpleasant
Minor bleeding	6020 (9)	1.18 (0.89–1.55)	27	5 more (3 fewer to 15 more)	Moderate-quality evidence owing to imprecision; however, this outcome is unlikely to be critical for decision making

# Factors influencing recommendation or decision

- How large is the actual benefit?
- Values and preferences
- Cost or resource use
  - Opportunity cost
- Availability
- Feasibility
- ....

Formulate question

Select outcomes

Rate importance

Outcomes across studies

Create evidence profile with GRADEpro

Rate quality of evidence for each outcome

Randomization increases initial quality

P	Outcome	Critical
I	Outcome	Critical
C	Outcome	Important
O	Outcome	Not important



Outcome	Quality assessment	Summary of findings		Quality	Importance												
		Relative risk (95% CI)	Absolute														
Activity at 12 months (dichotomous)	<table border="1"> <tr> <th>Outcome</th> <th>Limitations</th> <th>Inconsistency</th> <th>Indirectness</th> <th>Imprecision</th> <th>Other considerations</th> </tr> <tr> <td>Low</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> </tr> </table>	Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	Low	None	None	None	None	None	0.0007 (0.0000 to 0.0014)	10 fewer per 1000 (95% CI: 0 to 20 fewer)	CRITICAL	CRITICAL
Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations												
Low	None	None	None	None	None												
Not having a headache 12 months	<table border="1"> <tr> <th>Outcome</th> <th>Limitations</th> <th>Inconsistency</th> <th>Indirectness</th> <th>Imprecision</th> <th>Other considerations</th> </tr> <tr> <td>Low</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> </tr> </table>	Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	Low	None	None	None	None	None	0.0015 (0.0000 to 0.0030)	15 fewer per 1000 (95% CI: 0 to 30 fewer)	CRITICAL	CRITICAL
Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations												
Low	None	None	None	None	None												
Not having a headache 12 months (95% CI)	<table border="1"> <tr> <th>Outcome</th> <th>Limitations</th> <th>Inconsistency</th> <th>Indirectness</th> <th>Imprecision</th> <th>Other considerations</th> </tr> <tr> <td>Low</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> <td>None</td> </tr> </table>	Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	Low	None	None	None	None	None	0.0027 (0.0000 to 0.0054)	27 fewer per 1000 (95% CI: 0 to 54 fewer)	CRITICAL	CRITICAL
Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations												
Low	None	None	None	None	None												

Summary of findings & estimate of effect for each outcome

High  
Moderate  
Low  
Very low

Graded down  
Grade up

1. Risk of bias
  2. Inconsistency
  3. Indirectness
  4. Imprecision
  5. Publication bias
1. Large effect
  2. Dose response
  3. Opposing bias & Confounders

Systematic review

Recommendation or health care action

Grade recommendations

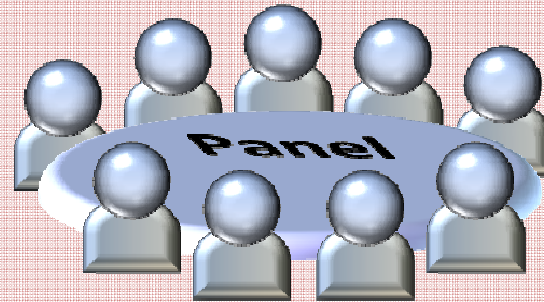
- For or against (direction) ↓↑
- Strong or conditional/weak (strength)

By considering balance of:

- Quality of evidence
- Balance benefits/harms
- Values and preferences

Revise if necessary by considering:

- Resource use (cost)



Guideline



Formulate Recommendations (↓↑ | ⊕...)

- "The panel recommends that ...should..." (↑↑ | ⊕...)
- "The panel suggests that ...should..." (↑? | ⊕...)
- "The panel suggests to not ..."
- "The panel recommends to not..." (↓↓ | ⊕...)

Formulate question

Select outcomes

Rate importance

Outcomes across studies

Create evidence profile with GRADEpro

Rate quality of evidence for each outcome

Randomization increases initial quality

P Outcome Critical  
 I Outcome Critical  
 C Outcome Important  
 O Outcome Not important



Outcome	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	Quality	Importance
Activity at 12 months (disease not 12 years)						CRITICAL	CRITICAL
Survival (disease not 12 years)						CRITICAL	CRITICAL
Quality of life (disease not 12 years)						CRITICAL	CRITICAL
Quality of life (disease not 12 years)						CRITICAL	CRITICAL
Quality of life (disease not 12 years)						CRITICAL	CRITICAL

Summary of findings & estimate of effect for each outcome

High  
 Moderate  
 Low  
 Very low

Grade down  
 Grade up

1. Risk of bias
  2. Inconsistency
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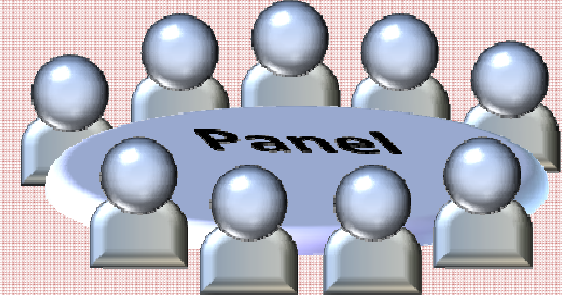
Systematic review

Recommendation or health care action

Grade decision

- For or against (direction) ↓↑
- Strong or conditional/weak (strength)

- By considering balance of of:
- Quality of evidence
  - Balance benefits/harms
  - Values and preferences
  - Resource use (cost)



Decision



Formulate Recommendations (↓↑ | ⊕...)

- Cover or not cover
- Research?

Grade overall quality of evidence across outcomes based on lowest quality of *critical* outcomes



# THANK YOU