

Se una notte d'inverno un decisore...
Con DECIDE, dalle evidenze alle decisioni nel SSN

Roma, 1 marzo 2013

GRADE/DECIDE Frameworks for going from evidence to decisions

- Clinical recommendations
- Individual patient decisions
- Coverage decisions
- Health system and public health decisions

Confidence in decisions "Strength of recommendation"

GRADE

The degree of confidence that the desirable effects of adherence to a recommendation outweigh the undesirable effects.

Desirable effects

- health benefits
- •less burden
- savings



Undesirable effects

- •harms
- more burden
- •costs

RATING QUALITY OF EVIDENCE AND STRENGTH OF RECOMMENDATIONS

GRADE: going from evidence to recommendations

BMJ 2008;336;1049-1051

Categories of recommendations

GRADE

Although the degree of confidence is a continuum, we suggest using two categories: strong and weak.

- Strong recommendation: the panel is confident that the desirable effects of adherence to a recommendation outweigh the undesirable effects.
- Weak recommendation: the panel concludes that the desirable effects of adherence to a recommendation probably outweigh the undesirable effects, but is not confident.

Recommend



Suggest



Implications of strong and weak recommendations for patients

 Strong - Most people in your situation would want the recommended course of action and only a small proportion would not

 Weak - The majority of people in your situation would want the recommended course of action, but many would not

Implications of strong and weak recommendations for clinicians

• **Strong** - Most patients should receive the recommended course of action

 Weak - Be prepared to help patients to make a decision that is consistent with their own values

Implications of strong and weak recommendations for policymakers

• **Strong** - The recommendation can be adapted as a policy in most situations

Weak - There is a need for substantial debate and involvement of stakeholders

Determinants of strength of recommendation

GRADE

Factors	Impact on the strength of a recommendation
Balance between desirable and undesirable effects	Larger the difference between the desirable and undesirable effects, more likely a strong recommendation warranted. Narrower the gradient, more likely weak recommendation warranted
Certainty (quality) of the evidence	Higher the quality of evidence, more likely a strong recommendation warranted
Relative importance of the outcomes ("values and preferences")	More variability in values and preferences, or more uncertainty in values and preferences, more likely weak recommendation warranted
Costs (resource use)	Higher the costs of an intervention – that is, the more resources consumed – less likely a strong recommendation warranted

Rome NHS Task Force Recommendations

- 1. Should women age 50 to 69 be screened for breast cancer with mammography?
- 2. Should women age 40 to 49 be screened for breast cancer with mammography?

Rome NHS Task Force Recommendations

Perspective: individual patient

Summary of Findings: Screening mammography in women 50 to 69

	Estimated a	10,000 women		
Risk ratio	No screening Screening Difference			Certainty of
(95% CI)	Per 10,000 women	Per 10,000 women	(95% CI)	the effect
1.06	350	372	(1/1 fewer to 62 more	⊕⊕⊕○
(0.96 to 1.18)			per 10,000)	Moderate
0.79	64	50	14 fewer per 10,000	⊕⊕⊕○
(0.68 to 0.90)			(21 to 6 lewer)	Moderate
1.52		227	227 more per 10,000	⊕⊕00
(1.46 to 1.58)			(201 to 233 litore)	Low
		1201	1201 more	⊕⊕OO Low
		Biannual screening mammography	Bother associated with biannual screening mammography	
	(95% CI) 1.06 (0.96 to 1.18) 0.79 (0.68 to 0.90) 1.52	Risk ratio (95% CI) Per 10,000 women 1.06 350 (0.96 to 1.18) 64 (0.68 to 0.90) 1.52 (1.46 to 1.58)	Risk ratio No screening Screening (95% Cl) Per 10,000 women Per 10,000 women 1.06 350 372 (0.96 to 1.18) 50 (0.68 to 0.90) 227 (1.46 to 1.58) 1201 Biannual screening mammography	(95% CI) Per 10,000 women Per 10,000 women (95% CI) 1.06 350 372 (14 fewer to 62 more per 10,000) 0.79 64 50 14 fewer per 10,000 (21 to 6 fewer) 1.52 227 227 more per 10,000 (201 to 253 more) (1.46 to 1.58) 1201 1201 more Biannual screening mammography Bother associated with biannual screening mammography

^{*} Consequences of overdiagnosis include surgery, radiotherapy and endocrine therapy of women who would not be diagnosed or treated for breast cancer without screening. Psycho-social consequences include anxiety, depression, labelling and impacts on insurance status.

Summary of Findings: Screening mammography in women 40 to 49

		Estimated a			
Outcomes	Risk ratio	No screening Screening Difference			Certainty of
(after 11.4 years)	(95% CI)	Per 10,000 women	men Per 10,000 women (95% CI)		the effect
Total deaths	0.97	181	176	(16 fewer to 7 more	⊕⊕⊕ ○
	(0.91 to 1.04)			per 10,000)	Moderate
Deaths from	0.85	32	27	5 fewer per 10,000 (8 to 1 fewer)	⊕⊕⊕○
breast cancer	(0.75 to 0.96)			(o to 1 lewel)	Moderate
Overdiagnosis of breast	1.52		69	69 more per 10,000 (61 to 77 more)	⊕⊕೦೦
cancer*2	(1.46 to 1.58)			(or to 77 mole)	Low
Recalled for at least one			595	595 more	⊕⊕00
biopsy ³					Low
Bother			Biannual screening mammography	Bother associated with biannual	
				screening mammography	

^{*} Consequences of overdiagnosis include surgery, radiotherapy and endocrine therapy of women who would not be diagnosed or treated for breast cancer without screening. Psycho-social consequences include anxiety, depression, labelling and impacts on insurance status.

Should women age 50 to 69 be screened for breast cancer with mammography?

Factors that can weaken the strength of a recommendation	Judgement	Explanation
Small net benefit	□ Yes □ No	
Low quality of evidence	□ Yes □ No	
Uncertainty or differences in "values and preferences"	□ Yes □ No	
High costs	□ Yes □ No	

Should women age 50 to 69 be screened for breast cancer with mammography?

	Strong	Weak		Weak	Strong
Your view of the balance of desirable and undesirable consequences of the intervention	Desirable consequences clearly outweigh undesirable consequences	Desirable consequences probably outweigh undesirable consequences	Consequences equally balanced or uncertain	Undesirable consequences probably outweigh desirable consequences	Undesirable consequences clearly outweigh desirable consequences
Recommendation	We recommend to screen	We suggest to screen	No specific recommendation	We suggest not to screen	We recommend not to screen
Vote					

Should women age 40 to 49 be screened for breast cancer with mammography?

Factors that can weaken the strength of a recommendation	Judgement	Explanation
Small net benefit	□ Yes □ No	
Low quality of evidence	□ Yes □ No	
Uncertainty or differences in "values and preferences"	□ Yes □ No	
High costs	□ Yes □ No	

Should women age 40 to 49 be screened for breast cancer with mammography?

	Strong	Weak		Weak	Strong
Your view of the balance of desirable and undesirable consequences of the intervention	Desirable consequences clearly outweigh undesirable consequences	Desirable consequences probably outweigh undesirable consequences	Consequences equally balanced or uncertain	Undesirable consequences probably outweigh desirable consequences	Undesirable consequences clearly outweigh desirable consequences
Recommendation	We recommend to screen	We suggest to screen	No specific recommendation	We suggest not to screen	We recommend not to screen
Vote					

Questions or comments about clinical recommendations?

Should you, your wife, your sister or your mother (someone who is 50 years old) be screened for breast cancer with mammography every 2 years for 10 years?

Factors that can weaken the strength of a recommendation	Judgement	Explanation
Small net benefit	□ Yes □ No	
Low quality of evidence	□ Yes □ No	The quality of the evidence is moderate
Uncertainty or differences in "values and preferences"	□ Yes □ No	Variability in values is not relevant. How certain are you about your values (or those of your wife, sister or mother)?
High costs	□ Yes □ No	Only your (or her) out of pocket costs are relevant.

Should you, your wife, your sister or your mother (someone who is 50 years old) be screened for breast cancer with mammography every 2 years for 10 years?

	Yes	Probably	Don't know	Probably not	No
Your view of the balance of desirable and undesirable consequences of the intervention	Desirable consequences clearly outweigh undesirable consequences	Desirable consequences probably outweigh undesirable consequences	Consequences equally balanced or uncertain	Undesirable consequences probably outweigh desirable consequences	Undesirable consequences clearly outweigh desirable consequences
Decision	Yes	Consider	using a deci	isions aid	No

Factors that can weaken the strength of a recommendation	Judgement	Explanation
Small net benefit	□ Yes □ No	
Low quality of evidence	□ Yes □ No	
Uncertainty or differences in "values and preferences"	□ Yes □ No	Variability in "values and preferences" is not relevant
High costs	□ Yes □ No	Only costs (and savings) to the insurer are relevant.

	Yes	Probably	Don't know	Probably not	No
Your view of the balance of desirable and undesirable consequences of the intervention (including costs) Desirable consequences clearly outweigh undesirable consequences		Desirable consequences probably outweigh undesirable consequences	Consequences equally balanced or uncertain	Undesirable consequences probably outweigh desirable consequences	Undesirable consequences clearly outweigh desirable consequences
Coverage decision	Yes	□ Cover wit □ Restricte □ Cover wit	No		

Factors considered by the National Insurance Administration (NIA) in the 1990's

A review of NIA documents for applications in the 1990's found eight factors that possibly influenced decisions:

- The treatment effect
- Side effects
- Cost-effectiveness
- Total costs to the NIA
- Control of (inappropriate) use of the drug (and expenses)
- Administrative constraints
- Seriousness of the condition
- Equity

There was rarely an explicit written evaluation for any of the factors and it is not clear to what extent most of the factors were considered for most of the applications

Factors considered by the Australian Pharmaceutical Benefits Scheme 1994-2004

Statistically significant influences included:

Severity of disease

 a life threatening condition increased probability of approval by 38%

Clinical importance of the treatment effect

increased probability of approval by 21% compared to the average

Cost-effectiveness

increase of \$A10,000 from \$A46,400 average reduced probability of approval by 6%

Cost to government

increase of \$A5 million from \$A17 million average reduced probability of approval by 3%

Interactions

e.g. a life threatening condition and a clinically important treatment effect

Factors that can influence coverage decisions

- Cost-effectiveness -- the lower the cost per unit of benefit (e.g. QALY), the more likely it is that insurance should pay for something
 - Seriousness -- the more serious a problem is, the more likely it is that insurance should pay for something
 - Benefits -- the larger the benefit, the more likely it is that insurance should pay for something
 - Adverse effects -- the greater the risk of undesirable effects, the less likely it is that insurance should pay for something
 - Resource use (costs) -- the greater the cost, the less likely it is that insurance should pay for something
- Quality of evidence -- the lower the quality of evidence, the less likely it is that insurance should pay for something
- Equity -- the greater the reduction in inequities, the more likely it is that insurance should pay for something
- Appropriate use -- the more likely inappropriate use is to be a problem, the less likely it is that insurance should pay for something

DECIDE frameworks

- Clinical practice guidelines
 - Individual patient perspective
 - Health system perspective
- Coverage decisions
- Health system and public health decisions
- Diagnostic tests

Purpose

To help decision makers move from evidence to a decision

It is intended to

- Inform decision makers' judgements about the pros and cons of each option (intervention) that is considered
- Ensure that important factors that determine a decision (criteria) are considered
- Provide a concise summary of the best available research evidence to inform judgements about each criterion
- Help structure discussion and identify reasons for disagreements
- Make the basis for a decision transparent to those affected

Development of the frameworks

- Part of the DECIDE project
- An iterative process informed by
 - GRADE approach to clinical practice guidelines
 - Review of relevant literature
 - Brain storming
 - Feedback from stakeholders
 - Application of the framework to examples
 - Surveys of (e.g. of policymakers)
 - User testing
 - Trials

- Criteria on which a decision may be based
- Judgements that the decision makers must make in relation to each criterion
- Research evidence to inform each of those judgements
- Additional information to inform or justify each judgement

Conclusions

- The *balance of consequences* of the option being considered in relation to the alternative (comparison)
- The decision
- The justification for the decision, flowing from the judgements in relation to the criteria
- Key implementation considerations

	CRITERIA	JUDGEMENT	EVIDENCE			COMMENTS		
SEVERITY	Is the condition severe?	No Moderately Yes* □ □ □ *e.g. life threatening or disabling			er for women age om breast cancer v			
	Are the		Summary of finding	ngs: Screening m	ammography for w	omen age 40 to 49		
	benefits large?	No Moderately Yes	Outcomes (after 11.4 years)	No screening (per 10,000)*	Screening (per 10,000)	Difference (per 10,000) (95% CI)	Certainty of the evidence (GRADE)	
			Total deaths	181	176	(16 fewer to 7 more)	⊕⊕⊕○ Moderate	
& HARMS	Are the harms small?	No Moderately Yes	Deaths from breast cancer	32	27	5 fewer (8 to 1 fewer)	∰⊕⊕○ Moderate	
BENEFITS & HARMS			Overdiagnosis of breast cancer ¹²		69	69 more (61 to 77 more)	(⊕⊕OO Low	
	What Is the		Recalled for at least one biopsy ³		595	595 more	⊕⊕○○ Low	
	overall certainty of these anticipated effects?	Very Low Moderate High	Bother		Biannual screening mammography	Bother associated with biannual screening mammography		
VALUES	Would well- informed patients feel that the benefits outweight the harms?	No Majority would Uncertain Majority Yes	None available					

	CRITERIA	JUDGEMENT	EVIDENCE	COMMENTS
USE		No Probably not Uncertain Probably Yes	The median Medicare reimbursement for a mammogram is \$108. For 300,000 women screened biennially the cost would be around \$16,000,000 annually for mammograms. The full cost (including follow-up investigations and costs and savings from treatment) is not available.	
RESOURCE	Is the incremental cost small relative to the net benefits?	No Probably not Uncertain Probably Yes	The cost per QALY is \$106,000 for screening every 3 to 4 years and \$223,000 for screening every 2 years. The cost per QALY is less for some high risk groups. For example, biennial mammography costs less than \$50,000 per QALY gained for women aged 40 to 49 years with category 3 or 4 breast density and either a previous breast biopsy or a family history of breast cancer.	
FOULTY	What would be the impact on health inequities?	Increased Probably Uncertain Probably Reduced increased reduced	None available	Not covering mammograms might increase inequities for low-income women.
ADDRODO TELLS		No Probably not Uncertain Probably Yes	None available	

Balance of consequences	Undesirable consequences clearly outweigh desirable consequences	Undesirable consequences probably outweigh desirable consequences		Desirable consequences probably outweigh undesirable consequences	Desirable consequences clearly outweigh undesirable consequences
Coverage decision	Do not cover		Cover with evidence developm	ent	Implement the option
			Restricted coverage Cover with price reduction		
Restrictions					
Justification					
Implementation					

Questions or comments about coverage decisions?

What about public health and health system decisions?

- Delivery arrangements (e.g. stroke units, use of lay health workers)
- Financial arrangements (e.g. user fees, pay for performance)
- Governance arrangements (e.g. decentralisation, mergers)
- Implementation strategies (e.g. continuing professional education, mass media campaigns)

What criteria should be used for public health and health system decisions?

How serious the problem is

- the more serious a problem is, the more likely it is that a policy or programme that addresses the problem will be a priority (e.g. diseases that are fatal or disabling are likely to be a higher priority than diseases that only cause minor distress)

The number of people that are affected by the problem

 the more people who are affected, the more likely it is that a policy or programme that addresses the problem will be a priority

What criteria should be used for public health and health system decisions?

Benefits

 the larger the benefit, the more likely it is that a policy or programme will be a priority

Adverse effects

 the greater the risk of undesirable effects, the less likely it is that a policy or programme will be a priority

Resource use (costs)

 the greater the cost, the less likely it is that a policy or programme will be a priority

Cost-effectiveness

 the lower the cost per unit of benefit, the more likely it is that a policy or programme will be a priority

What criteria should be used for public health and health system decisions?

Impacts on equity

 policies or programmes that reduce inequities may be more of a priority than ones that do not (or ones that increase inequities)

• Feasibility (easy to implement)

 the less feasible (capable of being accomplished or brought about) a policy or programme is, the less likely it is that it will be a priority (i.e. the more barriers there are that would be difficult to overcome)

Acceptability

- the less acceptable a policy or programme is to key stakeholders, the less likely it is to be a priority. Unacceptability may be due to some stakeholders
 - attaching more value (relative importance) to the undesirable consequences than to the desirable consequences of a policy or programme (either because of how they might be affected personally or because of their perceptions of the relative importance of consequences for others)
 - moral approval or disapproval (i.e. in relationship to ethical principles such as autonomy, nonmaleficence, beneficence or justice)

Problem

 The organisation of treatment and rehabilitation for acute stroke patients can affect patient outcomes and costs.

Options

- Stroke units are an option where care is provided by nurses, doctors and therapists who specialise in looking after stroke patients and work as a co-ordinated team in a discrete ward caring exclusively for stroke patients.
- Early supported discharge is an option that aims to get patients back to an active life as quickly as possible. It includes acute treatment in a stroke unit followed by early discharge and follow-up by a multidisciplinary team, coordination of care with primary healthcare providers, and patients living so far as possible at home.

Comparison

Care in an acute medical or neurology ward (general medical wards) without routine multidisciplinary input

	CRITERIA	JUDGEMENTS	RESEARCH EVIDENCE	ADDITIONAL INFORMATION
EM	Is the problem a priority?	No Probably no Uncertain Probably yes Yes	Acute stroke patients cared for in general medical wards have a high risk of death (27%) and dependency (24%). 15% require institutional care following discharge. [1]	
PROBLE	Are a large number of people affected?	No Probably no Uncertain Probably yes Yes	15,000 strokes per year in Norway. 3rd most common cause of death. Most common cause of serious disability. [2]	

	CRITERIA	JUDGE	MENTS				RESEARCH	EVIDENCE					ADDITIONAL INFORMATION
	Arethe					Summary of findings: Stroke units vs general medical wards [1]							
	desirable anticipated effects large?	No F	Probably no	Uncertair	Probably yes	Yes	Outcome (1-12 months)	General wards (per 1000)*	Stroke units (per 1000)	Difference (per 1000) (95% CI)	Relative effect (RR) (95% CI)	Certainty of the evidence (GRADE)	
	Arethe						_ Death	265	236	29 fewer (from 3 to 53 fewer)	RR 0.89 (0.80 to 0.99)	⊕⊕⊕○ Moderate	
	undesirable anticipated effects	No F	Probably no	Uncertair	Probably yes	Yes ☑	Dependency	235	223	12 fewer (from 52 fewer to 40 more)	RR 0.95 (0.78 to 1.17)	⊕⊕⊕○ Moderate	
NS	small?						Institutionalized	148	117	31 fewer (from 58 fewer to 4 more)	RR 0.79 (0.61 to 1.03)	⊕⊕○○ Low	
BENEFITS & HARMS OF THE OPTIONS								findings: Earl Ordinary discharge (per 1000)	Early Supported disc Early Supported discharge	harge vs ordinary Difference (per 1000) (95% CI)	discharge [3, 4] Relative effect (RR) (95% CI)	Certainty of the evidence (GRADE)	
BENEFITS &	What is the	No	Very lov	v Low	<u>M</u> oderate	High	(1-12 months) Death				(95% CI) RR 0.91		
	overall certainty of this evidence?	included studies			☑					to 120 more)	(0.55 to 1.51)	Low	
	and dynamics:			133-57			Dependency	223	185	38 fewer (from 71 fewer to 2 more)	RR 0.83 (0.68 to 1.01)	Moderate	
							Institutionalized	117	85	32 fewer (from 62 fewer to 15 more)	RR 0.73 (0.47 to 1.13)	⊕⊕⊕⊖ Moderate	
								s in the systema	with early dischary atic review of strok			J	

	CRITERIA	JUDGEMENTS	RESEARCH EVI	DENCE	ADDITIONAL INFORMATION			
VALUES	Are the desirable effects large relative to undesirable effects?	□ □ □ □ ☑ Moderate 0.61 0.78						
			Total cost per year*			Cost per patient [2]		
RESOURCE USE	Are the resources required small?	No Probably no Uncertain Probably yes Yes	General 19 ward) billion Stroke 14 unit billion Stroke 12 unit with early discharge	- 5 billion -2 billi	ge ge 1 270 000 933 000	Stroke unit versus general ward - 337 000	Early supported discharge versus ordinary discharge	
			*Based on 15000 strol					
	Is the incremental cost small relative to the net benefits?	No Probably no Uncertain Probably yes Yes □ □ □ □ ☑	year saved) for strol early discharge con followed by early su	I million NOK (i.e. a sav ke units compared to g npared to stroke units. s upported discharge is th ary stroke units was the	ke units with stroke units the simulations,			

	CRITERIA	JUDGEMENTS	RESEARCH EVIDENCE	ADDITIONAL INFORMATION
EQUITY	What would be the impact on health inequities?	No Probably no Uncertain Probably yes Yes □ □ ☑ □ □		Might increase inequities between rural and urban areas
ACCEPTABILITY	Is the option acceptable to key stakeholders?	No Probably no Uncertain Probably yes Yes □ □ □ □ ☑	From a hospital perspective stroke units may cost more (8000 NOK per admission) [2], while communities (not hospitals) benefit from the savings (which occur after discharge from the hospital)	
FEASIBILITY	Is the option feasible to implement?	No Probably no Uncertain Probably yes Yes		There are stroke units in Norway It requires space, an initial investment, and a leader to establish a unit It might not be clear whose responsibility it is to establish a unit

Balance of consequences	Undesirable consequences clearly outweigh desirable consequences in most settings	Undesirable consequences probably outweigh desirable consequences in most settings	The balance between desirable and undesirable consequences is uncertain	Desirable consequences probably outweigh undesirable consequences in most settings	Desirable consequences clearly outweigh undesirable consequences in most settings			
					Ø			
Decision	Do not implement the option	Postpone a decision	Do a pilot study	Implement with an impact evaluation	Implement the option			
					Ø			
Justification	We conclude that patients with acute stroke should be cared for in stroke units with early discharge. All urban hospitals must, therefore, have a stroke unit and communities must have arrangements for early discharge from those units. Stroke units with early supported discharge probably will reduce mortality and dependency and save money. The cost-effectiveness analysis suggests that this conclusion is robust.							
Implementation considerations								
	The following indicators should be used to monitor the implementation of this decision and inform decisions about the need for further action: establishment of stroke units at all urbar hospitals, whether stroke patients are managed in stroke units and discharged early, survival, dependency, institutionalization, hospital costs and costs of community-based health and social services.							
Monitoring	· · · · · · · · · · · · · · · · · · ·	e managed in stroke units and disch	ıarged early, survival, dependency, i	institutionalization, hospital costs and	costs of community-based health			

Questions or comments?